# Water/Sewer & Community Facilities and Services



# **General Administration**

CDBG Management Guide -- Appendix 1

**CDBG Contract** 

Required Policies – adopt new policies if older than 5 years

**Environmental Review** 

**Submitting Claims – every 6 months** 

**Contract Conditions** 

**Construction Procurement** 

**Labor Compliance** 

**Project Monitoring** 

Closeout



Grant Process:		
Steps	Management Guide Reference	IowaGrants Reference
Receive award letter and contract		Found in electronic documents
Sign and return contract		
Verify that financial management standards meet CDBG guidelines	Chapter 5	
Review the recordkeeping checklist and set up filing system	Chapter 1, Appendix 1	
Sign contracts for administrator/engineer/architecture ensuring they have required federal contract language	Chapter 2, Appendix 2	Keep in file
Complete contractor clearance for	Chapter 2, Appendix 2	Create form and submit in
administrator/engineer/architecture/technical services		Compliance Forms
Sign subrecipient agreements (as applicable)	Chapter 1, Appendix 1 pg. 6	Upload in Contract Condition Clearance Documents component
Start the environmental/historic review process	Chapter 3 & 4, Appendices 3 & 4	
	IEDA website: Environmental Compliance Section	
Adopt a Code of Conduct	Chapter 2, Appendix 2	Upload in electronic documents component
Adopt Prohibition on the use of Excessive Force Resolution	Chapter 1, Appendix 1	Upload in Required Uploads component
Adopt a Procurement Policy	Chapter 2, Appendix 2	Upload in electronic documents component
Review Civil Rights-Equal Opportunity laws	Chapter 1, Appendix 2	
Adopt an Equal Employment Opportunity Policy	Chapter 1, Appendix 1	Upload in electronic documents component
Adopt Residential Anti-displacement and Relocation Assistance Plan (RARA)	Chapter 1, Appendix 1	Upload in Required Uploads component
Review and Implement mandatory and optional actions to Affirmatively further fair housing	Chapter 1, Appendix 2	Keep in file
Adopt a Fair Housing Policy	Chapter 1, Appendix 2	Upload in electronic documents component
Create Administration Plan (DTR)	Chapter 7, Appendix 7	Upload in contract condition clearance document component



# **Obtain A Wage Determination**

- » Submit request in lowaGrants
- » Contact IEDA for modifications to wage determination
  - at least 10 days prior to bid opening
    - Do <u>not</u> submit a request for 10-day check in lowaGrants system
- » Wage determination must be included in all bid documents
- » Bid notice should include reference to federal prevailing wage requirements



### Wage Rates

☑ Edit Form

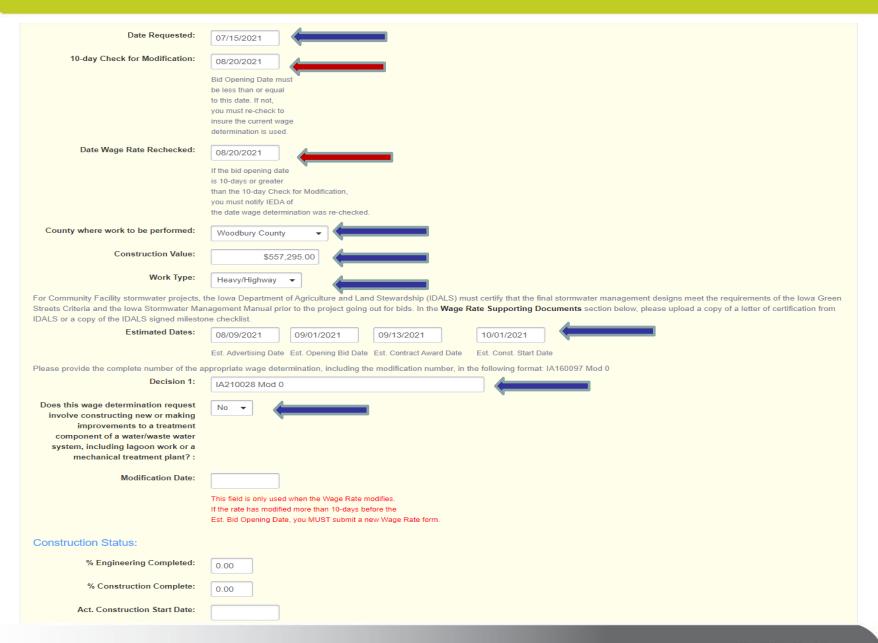
To obtain a wage determination for your project, please visit the Department of Labor's (DOL) Wage Determinations Online.gov web site at http://www.wdol.gov/dba.aspx. Using the dropdown lists, select State, County, and Construction Type. After selecting the construction type, the "WD Number" will automatically populate. Click search and the current wage determination number will appear.

Construction Type definitions: (Final determination of construction type will be made by IEDA)

- RESIDENTIAL: Includes the construction, rehabilitation and repair of single family houses, townhouses, and apartment buildings of no more than four (4) stories in height.
- HIGHWAY: Includes the construction, alteration, and repair of roads, streets, highways, runways, parking areas and most other paving work not incidental to building or nearby construction.
- TREATMENT: Construction of, or improvements to, water and sewage treatment facilities.
- HEAVY: A "catch-all" category which includes those projects which cannot be classified as Building, Residential, Highway or Treatment. Heavy construction is often further distinguished on the basis of the characteristics of particular projects such as dredging, water and sewer lines, dams, major bridges and flood control projects.
- BUILDING: Includes the construction, rehabilitation and repair of sheltered enclosures with walk-in access for the purpose of housing persons, machinery, equipment, or supplies.

Once you have obtained the wage determination from the DOL website, complete this form. Once you have entered the data, click Add to upload the wage determination you received from the DOL web site. Once you have uploaded the document, please e-mail Dan Narber, letting him know the file has been added. You will receive notification upon IEDA review and concurrence of the correct wage determination. You may then provide the wage determination to the project engineer or architect. Based on the "Bid Date" provided, the notification will include a date for the "10-day verification" check to ensure that the wage determination has not been modified. Please be aware that if the "bid date" changes the "10-day verification" date will also be affected.









Notes: - Multi-List

Date Notes

08/23/2021 10-day check was made. Wage determination IA210028 Mod 0 is still current.

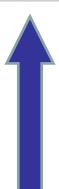
Last Edited By: Dan Narber - Aug 23, 2021 12:00 AM

#### ■ Wage Rate Supporting Documents - Multi-List

If this is a Community Facilities project involving a storm water component, you MUST upload the milestone checklist signed by IDALS in addition to the Department of Labor's (DOL) Wage Determination.

Document Name/Description	File	
IA20210028 Mod 0	1626366073296_IA20210028 Mod 0 01-01-2021.pdf	

Last Edited By: Dan Narber - Aug 23, 2021 12:00 AM









"General Decision Number: IA20210028 01/01/2021

Superseded General Decision Number: IA20200028

State: Iowa



Construction Types: Heavy and Highway

Counties: Adair, Adams, Allamakee, Appanoose, Audubon, Benton, Black Hawk, Boone, Bremer, Buchanan, Buena Vista, Butler, Calhoun, Carroll, Cass, Cedar, Cerro Gordo, Cherokee, Chickasaw, Clarke, Clay, Clayton, Clinton, Crawford, Dallas, Davis, Decatur, Delaware, Des Moines, Dickinson, Dubuque, Emmet, Fayette, Floyd, Franklin, Fremont, Greene, Grundy, Guthrie, Hamilton, Hancock, Hardin, Harrison, Henry, Howard, Humboldt, Ida, Iowa, Jackson, Jasper, Jefferson, Johnson, Jones, Keokuk, Kossuth, Lee, Linn, Louisa, Lucas, Lyon, Madison, Mahaska, Marion, Marshall, Mills, Mitchell, Monona, Monroe, Montgomery, Muscatine, O'Brien, Osceola, Page, Palo Alto, Plymouth, Pocahontas, Polk, Pottawattamie, Poweshiek, Ringgold, Sac, Shelby, Sioux, Story, Tama, Taylor, Union, Van Buren, Wapello, Warren, Washington, Wayne, Webster, Winnebago, Winneshiek, Woodbury, Worth and Wright Counties in Iowa.

EXCLUDES SCOTT COUNTY

HEAVY AND HIGHWAY CONSTRUCTION PROJECTS





### Modification Number Publication Date

# 01/01/2021

#### SUIA2020-001 10/18/2017

1	Rates	Fringes
Carpenter & Piledrivermen		
ZONE 1\$	28.52	14.08
ZONE 2\$	26.73	14.08
ZONE 3\$	26.73	14.08
ZONE 4\$	26.25	11.50
ZONE 5**\$	25.15	9.90
CONCRETE FINISHER		
ZONE 1\$	28.10	7.40
ZONE 2\$	28.10	7.40
ZONE 3\$	28.10	7.40
ZONE 4\$	25.45	6.40
ZONE 5\$	24.40	6.40
ELECTRICIAN (STREET AND		
HIGHWAY LIGHTING AND TRAFFIC		
SIGNALS)		
ZONE 1, 2, AND 3\$	25.05	6.80
ZONE 4\$	23.75	6.80
ZONE 5\$	21.60	6.80



Carpenter & Piledrivermen	
ZONE 1\$ 28.52	14.08
ZONE 2\$ 26.73	14.08
ZONE 3\$ 26.73	14.08
ZONE 4\$ 26.25	11.50
ZONE 5**\$ 25.15	9.90
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ZONE 4\$ 25.45	6.40
ZONE 5\$ 24.40	6.40
ELECTRICIAN (STREET AND	
HIGHWAY LIGHTING AND TRAFFIC	
SIGNALS)	
ZONE 1, 2, AND 3\$ 25.05	6.80
ZONE 4\$ 23.75	6.80
ZONE 5\$ 21.60	
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IRONWORKER (SETTING OF	6.80
	6.80
IRONWORKER (SETTING OF STRUCTURAL STEEL) ZONE 1	10.90
IRONWORKER (SETTING OF STRUCTURAL STEEL)	
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IRONWORKER (SETTING OF STRUCTURAL STEEL)  ZONE 1	10.90 10.90



#### ZONE DEFINITIONS

ZONE 1 The Counties of Polk, Warren, and Dallas for all Crafts, and Linn County Carpenters only.

ZONE 2 The Counties of Dubuque for all Crafts and Linn County for all Crafts except Carpenters.

ZONE 3 The Cities of Burlington, Clinton, Fort Madison, Keokuk, and Muscatine (and abutting municipalities of any such cities).

ZONE 4 Story, Black Hawk, Cedar, Jasper, Jones, Jackson, Louisa, Madison, and Marion Counties; Clinton County (except the City of Clinton), Johnson County, Muscatine County (except the City of Muscatine), the City of Council Bluffs, Lee County and Des Moines County.

ZONE 5 All areas of the state not listed above.



LABORER CLASSIFICATIONS - ALL ZONES

GROUP AA - {Skilled pipelayer (sewer, water and conduits) and tunnel laborers; asbestos abatement worker} (Zones 1, 2 and 3).

GROUP A - Carpenter tender on bridges and box culverts; curb machine (without a seat); deck hand; diamond & core drills; drill operator on air tracs, wagon drills and similar drills; form setter/stringman on paving work; gunnite nozzleman; joint sealer kettleman; laser operator; powderman tender; powderman/blaster; saw operator; {pipelayer (sewer, water, and conduits); sign erector\*; tunnel laborer; asbestos abatement worker (Zones 4 and 5)}, sign erector.

GROUP B - Air, gas, electric tool operator; barco hammer; carpenter tender; caulker; chain sawman; compressor (under 400 cfm); concrete finisher tender; concrete processing materials and monitors; cutting torch on demolition; drill tender; dumpmen; electric drills; fence erectors; form line expansion joint assembler; form tamper; general laborer; grade checker; handling and placing metal mesh, dowel bars, reinforcing bars and chairs; hot asphalt laborer; installing temporary traffic control devices; jackhammerman; mechanical grouter; painter (all except stripers); paving breaker; planting trees, shrubs and flowers; power broom (not self-propelled); power buggyman; rakers; rodman (tying reinforcing steel); sandblaster; seeding and mulching; sewer utility topman/bottom man;



# **Additional Classifications**

- » Classification not found on wage determination
- » Submit request through lowaGrants with contractor's proposed rate of pay
- » Department of Labor makes final decision
- » All Agency Memorandum (AAA No. 213)
- » Proposed rates must bear a "Reasonable Relationship"

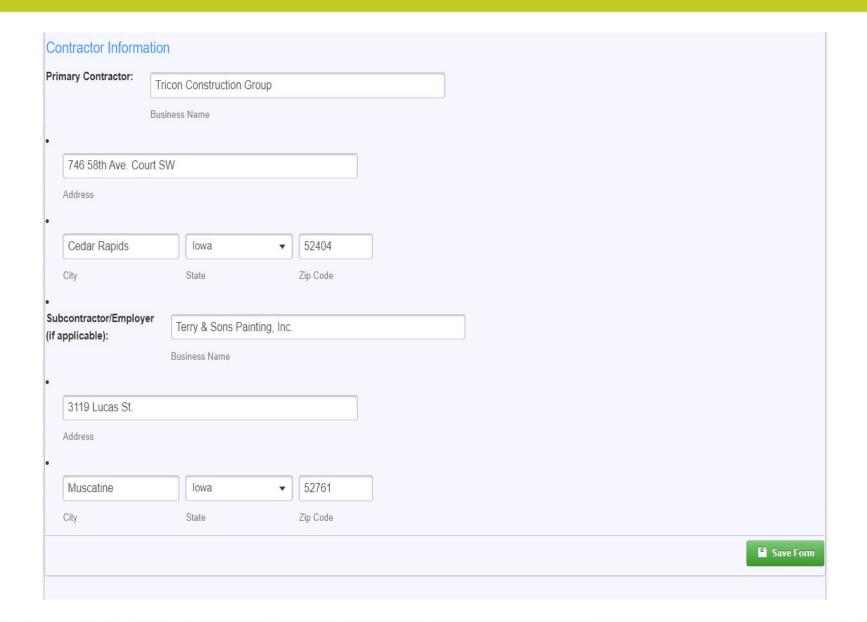


≣		Save Form
INTERNAL USE ONLY		
Check All that Apply		
The work to be performed by the additional classification(s) is not performed by a classification inthe applicable wage decision.:		
The proposed classification is utilized in the area by the construction industry.:		
The proposed wage rate(s), including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage decision.:		
The interested parties, including the employees or their authorized representatives, agree on the classification(s) and wage rate(s).:		
Supporting documentation attached, including applicable wage decision.:		
Check One		
Approved, meets all criteria. DOL confirmation requested.:		
One or more classifications fail to meet all criteria as explained in agency referral. DOL decision requested.:		
Date Sent to DOL:	12/08/2017	

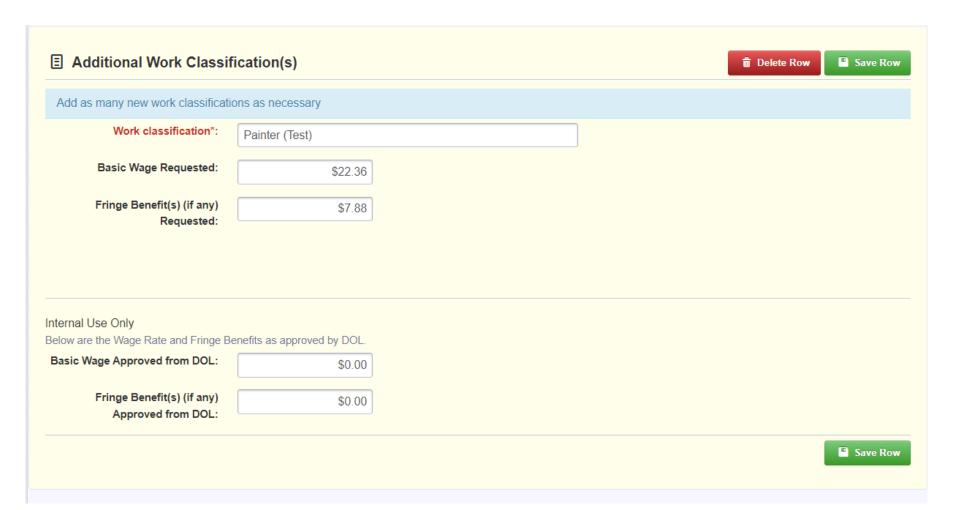


Brief description of Project: Describe the construction involved, e.g., new construction or rehabilitation.  Approved Wage Decision Number: Enter the Davis-Bacon wage decision number applicable to the construction work EXACTLY as entered on the approved Contract Clearance form in lowaGrants.  Wage Decision Effective Date: Enter the effective date of the wage decision for the project. (See DOL regulations at 29 CFR 1.6.)  Character of Construction: Enter the character of construction as defined by DOL for Davis-Bacon prevailing wage rate purposes.  Additional Work Classification: Add as many work classifications and corresponding hourly basic wage rates and fringe benefit rates (if any) as requested.  Subcontractor/Employer: If the requesting employer is not the prime contractor, enter the name and address of the subcontractor/employer making the request.					
Brief description of project (200 characters max.):	Painting of various areas and components of the water treatment plant project.				
Approved Wage Decision Num	The wage decision number MUST exactly match the decision number on the approved Contractor Clearance form.				
Wage Decision Effective Date:  •	01/06/2023				
Character of Construction Check all that apply.	on				
Building:					
• Heavy:					
• Highway:					
• Residential:					
• Other (specify):	tment				
Contractor Information					











# **Examine Weekly Payrolls**

- » Proper classification, including group letter (when applicable)
- » Proper wage for work performed
- » Check calculations
- » Verify method of payment for fringe benefits
- » All forms must be certified (signed)
- » Original signed payroll reports are required (no copies)



#### U.S. Department of Labor

#### **PAYROLL**

U.S. Wage and Hour Division

Wage and Hour Division

(For Contractor's Optional Use; See Instructions at www.dol.gov/whd/forms/wh347instr.htm)

		Persons are not r	require	ed to respond	d to the	collectio	n of i	informatio	n unless it disp	plays a currentl	y valid OM	B control nui	mber.			Rev. Dec	2008
NAME OF CONTRACTOR OR SUBCONTR	ACTOR							ADDRES	SS							OMB No.: Expires: 0	1235-0008 07/31/2024
PAYROLL NO.		FOR WEEK ENDING	3					PROJEC	CT AND LOCATE	ON				PROJECT	OR CONTRAC	T ÑO.	
(1)  NAME AND INDIVIDUAL IDENTIFYING NUMBER (e.g., LAST FOUR DIGITS OF SOCIAL SECURITY NUMBER) OF WORKER	NO. OF WITHHOLDING (S) EXEMPTIONS (S)	(3)  WORK CLASSIFICATION	OT. OR ST.	(4) D/	AY AND			(5) TOTAL HOURS	(6) RATE OF PAY	GROSS AMOUNT EARNED	FICA	WITH- HOLDING TAX	DED	(8) UCTIONS	OTHER	TOTAL DEDUCTIONS	(9)  NET WAGES PAID FOR WEEK
NUMBER) OF WORKER	2>0	CDSSIFICATION	o s	HOURSWI	URRED	EACH DA		HOURS	OFFAT	EMANED	FICA	100			OTHER	DEDUCTIONS	POR WEEK
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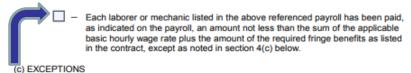
While completion of Form WH-347 is optional, it is mandatory for covered contractors and subcontractors performing work on Federally financed or assisted construction contracts to respond to the information collection contractors and subcontractors and subcontractors and subcontractors performing work on Federally financed or assisted construction contracts to "furnish weekly a statement with respect to the wages paid each employee during the preceding week." U.S. Department of Labor (DOL) regulations at 29 C.F.R. § 5.5(a)(3)(ii) require contractors to submit weekly a copy of all payrolls to the Federal agency contracting for or financing the construction project, accompanied by a signed "Statement of Compliance" indicating that the payrolls are correct and completed agency contracting agencies receiving this information review the information to determine that employees have received legally required wages and finge benefits.

#### ublic Burden Statement

We estimate that is will take an average of 55 minutes to complete this collection, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have any comments personally the estimates or any other aspect of this collection, including suggestions for reducing this burden, send them to the Administrator, Wage and Hour Division, U.S. Department of Labor, Room S3502, 200 Constitution Avenue, N.W.

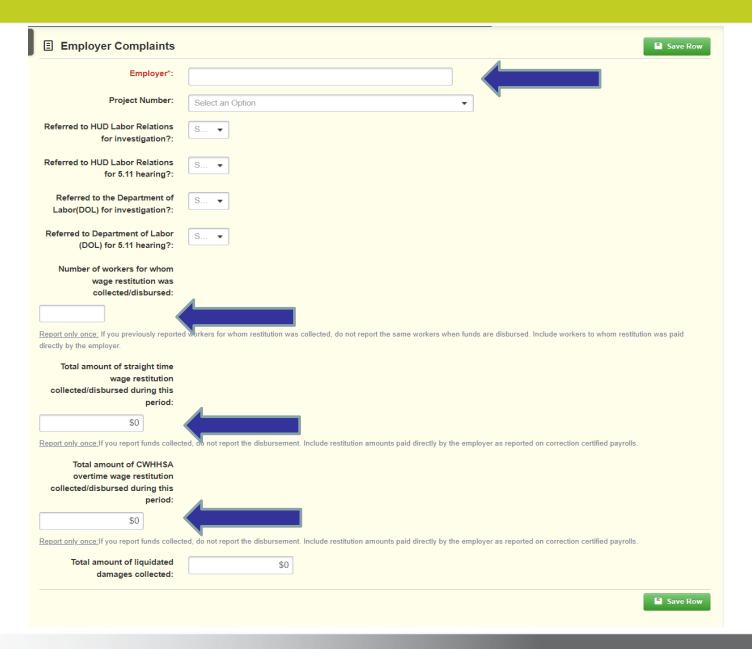
a1e	
(Name of Signatory Party)	(Title)
o hereby state:	
(1) That I pay or supervise the payment of th	e persons employed by
(-,	
(Contractor or Su	ubcontractor) on the
	; that during the payroll period commencing on the
(Building or Work)	
day of,, an	d ending theday of
I persons employed on said project have been peen or will be made either directly or indirectly to	aid the full weekly wages earned, that no rebates have or on behalf of said
	from the full
(Contractor or S	Subcontractor)
	nan permissible deductions as defined in Regulations, Part of Labor under the Copeland Act, as amended (48 Stat. 948, . § 3145), and described below:
	of Labor under the Copeland Act, as amended (48 Stat. 948,
(2) That any payrolls otherwise under this correct and complete; that the wage rates for labor	ontract required to be submitted for the above period are press or mechanics contained therein are not less than the
(2) That any payrolls otherwise under this correct and complete; that the wage rates for laborplicable wage rates contained in any wage determined to the contained of the conta	ontract required to be submitted for the above period are orers or mechanics contained therein are not less than the remination incorporated into the contract; that the classifications
(2) That any payrolls otherwise under this context and complete; that the wage rates for laboraticable wage rates contained in any wage detect forth therein for each laborer or mechanic context (3) That any apprentices employed in the aboration registered with a State apprenticeship ag	ontract required to be submitted for the above period are orders or mechanics contained therein are not less than the remination incorporated into the contract; that the classifications form with the work he performed.

#### (b) WHERE FRINGE BENEFITS ARE PAID IN CASH



(c) EXCEPTIONS	
EXCEPTION (CRAFT)	EXPLANATION
REMARKS:	
NAME AND TITLE	SIGNATURE
THE WILLFUL FALSIFICATION OF ANY OF THE ABOVE STA	TEMENTS MAY SUBJECT THE CONTRACTOR OR

THE WILLFUL FALSIFICATION OF ANY OF THE ABOVE STATEMENTS MAY SUBJECT THE CONTRACTOR OR SUBCONTRACTOR TO CIVIL OR CRIMINAL PROSECUTION. SEE SECTION 1001 OF TITLE 18 AND SECTION 3729 OF TITLE 31 OF THE UNITED STATES CODE.









# **On-site Interviews**

- » Form HUD-11
- » Ensure proper classification
- » Observe duties on-site must match classification
- » Verify the stated wage conforms to weekly payroll form



+

#### Record of Employee Interview

U.S. Department of Housing and Urban Development Office of Labor Relations OMB Approval No. 2501-0009 (exp. 09/30/2017)

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a cumentry valid OMB control number. The information is collected to ensure compliance with the Federal lators standards by recording interviews with construction workers. The information collected will assist HUD in the conduct of compliance monitoring; the information will be used to test the veracty of certified postporial reports submitted by the employer. Searchive, incompanion, The information for form is considered sensitive and is protected by the Privacy Act The Privacy Act requires that these records she maintained with appropriate administrative, technical, and physical safeguards to ensure their security and confidentiality. In addition, these records should be protected against any anticipated threats or hazards to their security or integrity that could result in substantial harm, enhances mentioned in the information is maintained. The information socialoded herein is voluntary, and any information provided shall be kept confidential.

1a. Project Name	2a. Employee Name
1b. Project Number	2b. Employee Phone Number (Including area code)
1c. Contractor or Subcontractor (Employer)	2c. Employee Home Address & Zip Code
	2d. Verification of Identification?
	Yes No No
	***
3a. How long on this 3b. Last date on this 3c. No. of hours last	4a. Hourly rate of pay? 4b. Fringe Benefits? 4c. Pay stub?
Job? Job before today? day on this Job?	Vacation Yes No Yes No No
_  -  -	
	Medical Yes No
	Pension Yes No No
5. Your job classification(s) (list all) continue on a separate sheet if nec	essary
6. Your duties	
o. Your duties	
7. Tools or an immort used	
7. Tools or equipment used	
Y N	Y N
8. Are you an apprentice or trainee?       10. Are you paid	at least time and 1/2 for all hours worked in excess of 40 in a week?
	er been threatened or coerced into giving up any part of your pay?
12a. Employee Signature	12b. Date
13. Duties observed by the interviewer (Please be specific.)	<u> </u>
T. Banada	
14. Remarks	
15a. Interviewer name (please print) 15b. Sig	nature of Interviewer 15c. Date of Interview
Daymall Franciscotion	
Payroll Examination	
16. Remarks	
17a. Signature of Payroll Examiner	17b. Date
Secretary of the control of the cont	F
Previous editions are obsolete	Form HUD-11 (08/2004)



# **Self-employed Owners**

### » Payroll Report

- Carried on the responsible employer's weekly payroll
- Must include name, work classification, actual hour of work, effective hourly rate, and amount paid

### » Owners Working with Their Crew

- Can certify payroll report
- Must include name, work classification including "owner" and daily and total hours worked
- Do not need to list a rate of pay or amounts earned



# **Apprentices**

### » Require the following:

- Individual registration for the apprentice
- Relevant pages from DOL approved apprenticeship program
  - Title page (shows name of the program)
  - Page reflecting ratio of apprentices to journeyman
  - Page reflecting pay scale for apprentices
    - Includes apprenticeship level and percentage of journeyman's rate for each level
  - Page reflecting fringe benefits
    - If silent on fringe benefits, pay full amount of fringe benefit stated on the wage decision
  - Signatory page (reflects signatories to agreement)
- » Absent this information, pay full journeyman's rate



# Resources

- » CDBG Management Guide
  - www.iowaeda.com/cdbg/management-guide/
- » Davis-Bacon and Labor Standards Agency/Contractor Guide
  - <u>Davis-Bacon and Labor Standards:</u>
     <u>Agency/Contractor Guide (hudexchange.info)</u>
- » Davis-Bacon and Labor Standards Contractor Guide Addendum
  - <u>Davis-Bacon and Labor Standards:</u>
     <u>Contractor Guide Addendum(hudexchange.info)</u>



# Resources

- » Federal Labor Standards Requirements in Housing and Urban Development Programs (1344.1 Rev. 3)
  - http://portal.hud.gov/hudportal/HUD?src=/program\_offices/administration/ /hudclips/handbooks/sech/13441
- » Wage Determinations Online Website
  - https://sam.gov/



# **Questions?**

