

This form must be completed and signed by the applicant.

1. Are there any judgments or court actions completed or pending against the applicant entity, or any current or prospective officer, principal, director, member or owner?

Yes No

2. Has any current or prospective officer, principal, director, member or owner been accused or convicted of any wrongdoing or crime, other than a simple misdemeanor?

Yes No

3. Any current or past bankruptcies on the part of the applicant entity (or predecessor entities) or on the part of any current (or prospective) officer, principal, member or owner, or in any business dealings of current (or prospective) officers, principals, members or owners of the applicant entity?

Yes No

4. In the last five years, has there been or are there currently any compliance violations of state or federal statutes, rules and regulations by the applicant entity or any current or prospective officer, principal, director, member or owner?

Yes No

5. Is the applicant delinquent on any federal or state debt or other obligation? (Including loans, guarantees, sales taxes and payroll taxes, etc.)

Yes No

6. Has the applicant defaulted on a loan, lease or bond, including any contract or agreement with the State of Iowa?

Yes No

7. If yes to any of the above, please provide additional explanation:

I hereby give permission to the Iowa Economic Development Authority (IEDA) to research the applicant's history, make credit checks, contact the applicant's financial institutions, insurance carriers and perform other related activities necessary for reasonable evaluation of this application. I also hereby authorize the Iowa Department of Revenue to provide to IEDA state tax information pertinent to the applicant's state income tax, sales and use tax, and state tax credits claimed.

I understand that all information submitted to IEDA related to this application is subject to Iowa's Open Record Law (Iowa Code, Chapter 22), unless specifically identified as confidential in the application, or if IEDA has made a determination for confidential treatment in response to the applicant submitting a Request for Confidential Treatment to IEDA.

I understand that IEDA and Iowa Energy Center (IEC) review of my proposed energy project is for loan purposes only. IEDA does not guarantee or in any way ensure the performance of any equipment, the quality of any system, or the reliability of any dealer or contractor.

I understand that IEDA reserves the right to negotiate financial assistance, including the amount, term and other conditions of a loan. I understand this application is subject to final approval by IEDA and the IEC Board, and the costs incurred prior to the IEC Board's approval of the loan are ineligible. Furthermore, I am aware that funds will not be disbursed until a contract has been executed and the appropriate terms and conditions met, including but not limited to providing collateral pledged in the application and approved by IEDA.

I hereby certify that all representations, warranties or statements made or furnished to IEDA in connection with this application are true and correct in all material respect. I have the legal authority to sign this form and submit this application on behalf of the applicant. I understand it is a criminal violation under Iowa law to engage in deception and knowingly make, or cause to be made, directly or indirectly, a false statement in writing for the purpose of procuring economic development assistance from a state agency or subdivision.

Signature _____

Printed name _____

Applicant _____

Title _____

Date _____

IEDA will not provide assistance in situations where it is determined that any representation, warranty or statement made in connection with this application is incorrect, false, misleading or erroneous in any material respect. If assistance has already been provided prior to discovery of incorrect, false or misleading representation, IEDA may initiate legal action to recover loan funds awarded.